



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Young et al  
Parent Serial No: 09/873,367 Art Unit: 1631  
Filed: 5 June 2001 Examiner: C. L. Smith  
Title: CANCER GENE DETERMINATION AND THERAPEUTIC  
SCREENING USING SIGNATURE GENE SETS  
Docket No: 689290-64

25 February 2003

BOX SEQUENCE  
U.S. Patent and Trademark Office  
P.O. Box 2327  
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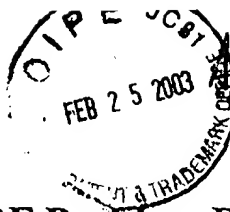
**Statement Under 37 CFR 1.821(f) and (g)**

Sir:

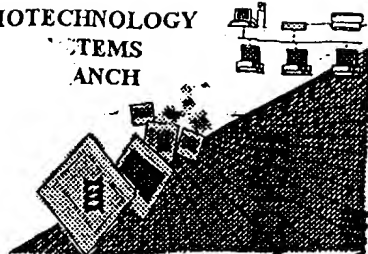
Applicant hereby asserts that the copies of the Sequence Listing filed herewith and the CRF form (on CD-ROM) filed herewith are all identical and are the same sequences as the sequence listing filed originally with the application so that no new matter has been added.

Respectfully submitted,

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## CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 09/873,367A  
Filing Date: 06/05/2001  
Date Processed by STIC: 5/17/02

STIC Contact: Mark Spencer, 703-308-4212

### Nature of Problem:

The CRF (was):

- ☐ (circle one) Damaged or Unreadable (for Unreadable, see attached)
- ☐ Blank (no files on CRF) (see attached)
- ☐ Empty file (filename present, but no bytes in file) (see attached)
- ☐ Virus-infected. Virus name: \_\_\_\_\_ The STIC will not process the CRF.
- ☐ Not saved in ASCII text
- ☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.
- ☒ Did not contain a Sequence Listing. (see attached sample)
- ☐ Other: \_\_\_\_\_

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Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

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Revised 01/29/2002

*Please forward to Group Art Unit 163/*

Amended Compact Discs

EXAMINER NOTE: THIS PAPER IS AN INTERNAL WORKSHEET ONLY. DO NOT ENCLOSE WITH ANY COMMUNICATION TO THE APPLICANT. ITS PURPOSE IS ONLY THAT OF AN AID IN HIGHLIGHTING A PARTICULAR PROBLEM IN A COMPACT DISC.

THE ATTACHED CD (COPY 1) HAS BEEN REVIEWED BY OIPE FOR COMPLIANCE WITH 37 CFR 1.52(E). ***Please match this CD with the application listed below.***

Date:

3/11/03

Serial No./Control No.

09803367

Reviewed By:

J. Williams

Phone:

305 3027

☒

The compact discs are readable and acceptable.

☐

Copy 1 and Copy 2 of the compact discs are not the same.

☐

The compact discs are unreadable.

☐

The files on the compact discs are not in ASCII.

☐

The compact discs contain at least one virus.

☐

Other

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